THE AMERICAN COLLEGE
CARY M. MAGUIRE
CENTER FOR ETHICS
IN FINANCIAL SERVICES

OUR MISSION:

To raise the level of ethical behavior in the financial services industry.

Vision

To promote ethical behavior by offering research and programs that go beyond the rules of market conduct to help increase awareness of ethical issues by individuals and companies while supporting them in thinking critically about ethical solutions for the benefit of society.

Guiding Principles

- **Independence.**
  We advocate for ethics.
- **Pro-business, high-standards.**
  We are a trusted resource on expert knowledge.
- **Applied knowledge.**
  We are solutions-oriented.

Center Activities

- Original research on business ethics topics relating to managing stakeholder interests and addressing business model risks
- Annual Forum on Ethical Leadership
- Annual Ethics Workshop incorporating the Center's research and opportunities for engagement
- Webinars on business ethics topics in the financial industry
- *Ethically*, our monthly newsletter, on the latest in ethics and management in financial services
- Corporate service offerings and programs
  - Ethics workshops/trainings
  - Culture assessment
  - Custom leadership retreats

Azish Filabi, JD, MA

Executive Director,
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THE AMERICAN COLLEGE OF FINANCIAL SERVICES®

The American College of Financial Services
630 Allendale Road, Suite 400
King of Prussia, PA 19406

Alliance for Ethics in Financial Services

Member Benefits

Members of the Alliance for Ethics in Financial Services contribute $15,000 annually to provide financial support and intellectual capital to advance the mission of the Center. Members are encouraged to develop multi-year financial commitments to support continuous innovations within the Center.

Visibility and Recognition

- Recognition as a thought leader and support of ethics in the financial services industry
- Company logo featured in the Center's monthly newsletter
- Company logo posted on the Center's website
- Engage with CEOs and senior leaders from financial services firms who share our commitment to business ethics
- Complimentary invitation to participate in
- CEO fireside chats and other webcasts

Research and Programs

- Complimentary attendance to the annual Ethics Workshop
- Access to a database of consumer insights on trust in financial services
- Discounts on Maguire Center corporate service offerings and programs
- Invitation to contribute to the direction of Maguire Center's research agenda
- Access to the Maguire Center for Ethics staff as a private sounding board for assistance with workplace ethics challenges
- Opportunity for your firm to participate in data collection and research to benchmark progress compared to your peers

Current Alliance Members

To join, or for more information, email Ethics@TheAmericanCollege.edu

Allianz
Foresters
M Financial Group
MassMutual
Nationwide
New York Life
Northwestern Mutual
Prudential
Securian Financial
State Farm
thrivent

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